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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/944,426	08/30/2001	Vladislav Vashchenko	75292/13356	1844
7590 Jürgen K Vollrath 588 Sutter Street #531 San Francisco, CA 94102			EXAMINER NADAV, ORI	
			ART UNIT 2811	PAPER NUMBER
			MAIL DATE 09/02/2009	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

**Application No.**

09/944,426

**Applicant(s)**

VASHCHENKO, VLADISLAV

**Examiner**

Ori Nadav

**Art Unit**

2811

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 01 June 1209.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4 and 9 is/are pending in the application.
- 4a) Of the above claim(s) 1 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 2-4 and 9 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 2-4 and 9 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claimed limitation of “a gate formed in the n-well ..... to define a high voltage node on one side of the gate”, as recited in claim 2, is unclear as to how a gate can define a node on one side of itself.

The claimed limitation of “a gate, formed in the n-well, as recited in claim 2, is unclear as to how a gate can be formed in the n-well, since the gate is formed above the substrate.

The claimed limitations of “forming an additional n+ region inside the p-well of the structure to define a p-n junction between a p-type material as defined by the p- well and the second p+ region in the p-well”, as recited in claim 2, are unclear as to which element is the p-type element which forms the p-n junction, because applicant recites the p-type material of the junction as being that of the p- well and that of the second p+ region in the p-well. It is also unclear between which n-type and p-type elements the p-n junctions are formed.

The claimed limitation of "said at least one diode", as recited in claim 3, is unclear as to which whether said diode is the same diode recited earlier, or a different diode.

The claimed limitation of "the alternative current path defines a lower resistance current path than the p-well", as recited in claim 4, is unclear as to how a current path can be lower than a p-well.

forming at least one additional p+ region and multiple additional n+ regions inside the p-well of the structure to define multiple p-n junctions in the p-well, each p-n junction being formed between a p-type material as defined by the p-well and one of the additional p+ regions or the second p+ region, and n-type material as defined by one of the additional n+ regions", as recited in claim 9, are unclear as to whether the element "at least one additional p+ region" "additional n+ regions" are part of or the same elements as the "additional p+ region" and "additional n+ region", respectively, recited in independent claim 2, or different elements. It is further unclear whether the element "each p-n junction" is the same element recited in independent claim 2, or a different element. It is also unclear between which n-type and p-type elements the p-n junctions are formed.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 2-4 and 9, as best understood, are rejected under 35 U.S.C. 103(a) as being unpatentable over Ker et al. (6,573,566) in view of Yu (5,361,185).

Regarding claim 2, Ker et al. teach in figure 8B and related text a method of increasing the holding voltage of an LVTSCR structure that includes an n-well 208 and a p-well 206 formed in a substrate 200, a gate, a first n+ region 214 and a first p+ region 212 formed in the n-well to define a high voltage node on one side of the gate,

the method comprising:

forming an additional n+ region 220 inside the p-well of the structure to define a p-n junction between a p-type material as defined by the p-well and the second p+ region in the p-well, and an n-type material as defined by the additional n+ region,

the p-n junction being forward biased during normal operation by having said additional n+ region of the p-n junction located further from the high voltage node than the second p+ region.

Regarding claims 3-4, Ker et al. teach in figure 8B and related text a method of increasing the holding voltage of an LVTSCR structure having an anode in an n-well and a cathode in a p- well, comprising

forming at least one additional n+ region 220 and at least one additional p+ region 222 in the p-well to define at least one forward biased diode under normal operation in the p-well, thereby providing an alternative current path from anode to cathode through said at least one diode,

wherein the alternative current path defines a lower resistance current path than the p-well.

Ker et al. do not teach in the embodiment of figure 8B and a second n<sup>+</sup> region and a second p<sup>+</sup> region formed in the p-well to define a low voltage node on the other side of the gate.

Ker et al. teach in figure 10B a diode 324 connected to the cathode of SCR G2.

Yu teaches in figure 4 and related text a diode comprising an n<sup>+</sup> region 50 and a p<sup>+</sup> region 56 formed in a p substrate 24.

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to form a diode comprising an n<sup>+</sup> region and a p<sup>+</sup> region in the p-well in Ker et al.'s device in order to provide protection to the device, and in order to reduce the size of the device and to simplify the processing steps of making the device.

Regarding claim 9 Yu teaches in figure 4 and related text forming at least one additional p<sup>+</sup> region and multiple additional n<sup>+</sup> regions inside the p-well of the structure to define multiple p-n junctions in the p-well, each p-n junction being formed between a p-type material as defined by the p-well and one of the additional p<sup>+</sup> regions or the second p<sup>+</sup> region, and n-type material as defined by one of the additional n<sup>+</sup> regions.

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to form at least one additional p<sup>+</sup> region and multiple additional n<sup>+</sup> regions inside the p-well of the structure to define multiple p-n junctions in the p-well,

each p-n junction being formed between a p-type material as defined by the p-well and one of the additional p+ regions or the second p+ region, and n-type material as defined by one of the additional n+ regions in Ker et al.'s device in order to provide better protection to the device.

### ***Response to Arguments***

Applicant argues that Ker et al. do not teach at least one additional p+ region and at least one n+ region inside the p-well.

Claim 2 recites an additional p+ region and an additional n+ region. Ker et al. teach one p+ region and at least one n+ region inside the p-well. Therefore, Ker et al. teach an additional p+ region 222 and an additional n+ region 220 inside the p-well, as claimed.

Applicant argues that Ker et al. teach external diode, whereas the present invention seeks to avoid the inclusion of external diodes.

The examiner does not suggest the inclusion of external diodes. The examiner states that Ker et al. teach in figure 10B a diode 324 connected to the cathode of SCR G2 in order to provide motivation for an artisan to form a diode in the device of the embodiment of figure 8B of Ker et al.

Applicant argues that there is no motivation to combine the references.

Although Ker et al. do not teach in the embodiment of figure 8B and a second n+ region and a second p+ region formed in the p-well to define a low voltage node on the other side of the gate, Ker et al. teach in figure 10B a diode 324 connected to the cathode of SCR G2. Yu teaches in figure 4 and related text a diode comprising an n+ region 50 and a p+ region 56 formed in a p substrate 24. That is, Yu is cited to teach an artisan that a diode can be formed in the substrate and be an integral part of the structure. Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to form a diode comprising an n+ region and a p+ region in the p-well in Ker et al.'s device in order to provide protection to the device, and in order to reduce the size of the device and to simplify the processing steps of making the device.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ori Nadav whose telephone number is 571-272-1660. The examiner can normally be reached between the hours of 7 AM to 4 PM (Eastern Standard Time) Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynne Gurley can be reached on 571-272-1670. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published



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applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

O.N.  
9/2/2009

/ORI NADAV/  
PRIMARY EXAMINER  
TECHNOLOGY CENTER 2800